FORM D

172209

SEC

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM D



NOTICE OF SALE OF SECURITIES
PURSUANT TO REGULATION D,
SECTION 4(6), AND/OR
UNIFORM LIMITED OFFERING EXEMPTION

OMB APPROVAL

OMB Number: 3235-0076
Expires: April 30, 2008
Estimated average behours per form

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Name of Offering (check if this is an amend Series D2 Preferred Stock Financing		ne has changed, and indica	te change.)			
Filing Under (Check box(es) that apply):	Rule 504	Rule 505	⊠Rı	ıle 506	Section 4(6)	ULOE
Type of Filing:		⊠New Filing			Amendment	
	A. BA	ASIC IDENTIFICATION	N DATA			
1. Enter the information requested about the i	ssuer					
Name of Issuer (☐check if this is an amendme Plaxo, Inc.	nt and name	has changed, and indicate	change.)			
Address of Executive Offices 1300 Crittenden Lane, Suite 300; Mo		and Street, City, State, Zip , CA 94043	Code)		one Number (Including A 254-5400	rea Code)
Address of Principal Business Operations same as above	(Number a	and Street, City, State, Zip	Code)		one Number (Including A s above	rea Code)
Brief Description of Business Software Desig	n					
Type of Business Organization						
⊠corporation	∏limited	partnership, already forme	ed		other (please specify)	
☐ business trust	□limited	partnership, to be formed				
		<u>Month</u>	<u>Year</u>		PROCESS	En
Actual or Estimated Date of Incorporation or C	rganization:	7	01		⊠ Actual	Estimated
Jurisdiction of Incorporation or Organization:	•	o-letter U.S. Postal Service anada, FN for other foreign		for State:	MAR 2 2 200	•
GENERAL INSTRUCTIONS					FINANCIAL	

Federal:

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C. 77d(6).

When to File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

Where to File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

State:

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

ATTENTION

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice.

A. BASIC IDENTIFICATION DATA

- 2. Enter the information requested for the following:
 - Each promoter of the issuer, if the issuer has been organized within the past five years;
 - Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer;

 Each executive office 	r and director of corporate issuers and	d of corporate general and managing partners of	partnership issuers; and
 Each general and mar 	naging partner of partnership issuers.		
Check Box(es)	Promoter	⊠Beneficial Owner	Executive Officer
that Apply:	Director	General and/or Managing Partner	
Full Name (Last name first, if	individual)		
Parker, Sean			
	ss (Number and Street, City, State, Z	ip Code)	
12623 Heritage Farm Lane; H	lemdon, VA 20171		
Check Box(es)	Promoter	⊠Beneficial Owner	Executive Officer
that Apply:	⊠Director	General and/or Managing Partner	
Full Name (Last name first, if	findividual)		
Masonis, J. Todd			
	ss (Number and Street, City, State, Zi		
c/o Plaxo, Inc., 1300 Crittend	len Lane, Suite 300; Mountain View,	CA 94043	
Check Box(es)	Promoter	⊠Beneficial Owner	Executive Officer
that Apply:	Director	General and/or Managing Partner	<u>.</u>
Full Name (Last name first, if	findividual)		
Ring, Cameron			
Business or Residence Addre	ss (Number and Street, City, State, Zi	p Code)	
c/o Plaxo, Inc., 1300 Crittend	len Lane, Suite 300; Mountain View,	CA 94043	
Check Box(es)	Promoter	Beneficial Owner	Executive Officer
that Apply:	⊠Director	General and/or Managing Partner	
Full Name (Last name first, if			
Moritz, Michael			
Business or Residence Addre	ss (Number and Street, City, State, Zi	p Code)	
	nd Hill Road, Bldg. 4, Suite 180; Mer		
Check Box(es)	Promoter	⊠Beneficial Owner	Executive Officer
that Apply:	Director	General and/or Managing Partner	
Full Name (Last name first, if			
SC X Management, L.L.C.	,		
-	ss (Number and Street, City, State, Zi	n Code)	
	nd Hill Road, Bldg. 4, Suite 180; Mer		
	Promoter	Beneficial Owner	Executive Officer
Check Box(es) that Apply:	☐ Fromoter ☑ Director	General and/or Managing Partner	
Full Name (Last name first, if		Gorietat and of Managing 1 across	
Shriram, Ram	. marriadary		
·	ss (Number and Street, City, State, Zi	n Code)	
	ite 300; Mountain View, CA 94043	p code)	
			ME
Check Box(es)	☐ Promoter	☐Beneficial Owner ☐General and/or Managing Partner	⊠Executive Officer
that Apply:	☐ Director	General and/or Managing Farmer	
Full Name (Last name first, it Carey, Richard	individualy		
	ss (Number and Street, City, State, Zi	-	
	len Lane, Suite 300; Mountain View,		
Check Box(es)	Promoter	Beneficial Owner	☐Executive Officer
that Apply:	Director	General and/or Managing Partner	
Full Name (Last name first, if			
Globespan Management Asso			
	ss (Number and Street, City, State, Zi	p Code)	
One Boston Place, Suite 2810		Mp. Cito	DEventive Officer
Check Box(es)	Promoter	⊠Beneficial Owner	Executive Officer

2

that Apply:	Director	General and/or Managing Partner	
Full Name (Last name first, if i JAV Management Associates I			
Business or Residence Address One Boston Place, Suite 2810;	(Number and Street, City, State, Zip Boston, MA 02108	Code)	
Check Box(es)	Promoter	Beneficial Owner	Executive Officer
that Apply:	☐Director	General and/or Managing Partner	
Full Name (Last name first, if i Cisco Systems, Inc.	ndividual)		
Business or Residence Address 170 West Tasman Drive; San Jo	(Number and Street, City, State, Zipose, CA 95134	Code)	
Check Box(es) that Apply:	☐ Promoter ☐ Director	⊠Beneficial Owner □General and/or Managing Partner	⊠Executive Officer
Full Name (Last name first, if i Golub, Benjamin	ndividual)		
	(Number and Street, City, State, Zip n Lane, Suite 300; Mountain View, C		
Check Box(es)	Promoter	☐Beneficial Owner	Executive Officer
that Apply:	⊠Director	General and/or Managing Partner	
Full Name (Last name first, if i Venky Ganesan	ndividual)		
	(Number and Street, City, State, Zip gy Affiliates Fund III, L.P.; 300 Hami	Code) ilton Avenue, Top Floor; Palo Alto, CA 94301	
Check Box(es)	Promoter	⊠Beneficial Owner	Executive Officer
that Apply:	☐Director	General and/or Managing Partner	
Full Name (Last name first, if it DAG Ventures II-QP, L.P.	ndividual)		
	(Number and Street, City, State, Zip 2300; San Francisco, CA 94111	Code)	
Check Box(es) that Apply:	Promoter Director	☐Beneficial Owner ☐General and/or Managing Partner	Executive Officer
Full Name (Last name first, if it	ndividual)		
Business or Residence Address	(Number and Street, City, State, Zip	Code)	
Check Box(es)	Promoter	Beneficial Owner	Executive Officer
that Apply:	Director	General and/or Managing Partner	
Full Name (Last name first, if it	ndividual)		
Business or Residence Address	(Number and Street, City, State, Zip	Code)	
Check Box(es)	Promoter	Beneficial Owner	Executive Officer
that Apply:	Director	General and/or Managing Partner	
Full Name (Last name first, if in	ndividual)		·
Business or Residence Address	(Number and Street, City, State, Zip	Code)	
Check Box(es)	Promoter	Beneficial Owner	Executive Officer
that Apply:	Director	General and/or Managing Partner	
Full Name (Last name first, if in	ndividual)		
Business or Residence Address	(Number and Street, City, State, Zip	Code)	

C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE OF PROCEEDS

1.	Enter the aggregate offering price of securities included in this offering and the total amount already sold. Enter "0" if answer is "none" or "zero." If the transaction is an exchange offering, check this box \(\square\) and indicate in the columns below the amounts of the securities offering for exchange and already exchanged.		
	Type of Security	Aggregate Offering Price	Amount Already Sold
	Debt	\$	\$
	Equity	<u> </u>	\$
	☐ Common ☑ Preferred	·	- <u></u>
	Convertible Securities (including warrants)	\$ <u>4,105,532</u>	\$ <u>4,105,532</u>
	Partnership Interests	\$	\$
	Other (Specify)	\$	\$
	Total	\$ \$	¢,
	Answer also in Appendix, Column 3, if filing under ULOE.	<u> </u>	4
2.	Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."		Aggregate Dollar Amoun
		Number Investors	of Purchases
	Accredited Investors	<u>16</u>	\$ <u>4,105,532</u>
	Non-accredited Investors		\$
	Total (for filings under Rule 504 only)		\$
	Answer also in Appendix, Column 4, if filing under ULOE.		
Э.	If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C - Question 1.		
	Type of Offering	Type of Security	Dollar Amount Sold
	Rule 505	,, ,	S
	Regulation A		S
	Rule 504		S
	Total		S
			
4.	a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.		
	Transfer Agent's Fees	П	\$
	Printing and Engraving Costs.		\$
	Legal Fees		\$ 30.000
	Accounting Fees	ñ	\$
	Engineering Fees		\$ \$
	Sales Commissions (specify finders' fees separately)		\$
	Other Expenses (Identify) Blue Sky fees		\$ \$300
	Total		\$ <u>30,300</u>
	b. Enter the difference between the aggregate offering price given in response to		<u> </u>
	Part C - Question 1 and total expenses furnished in response to Part C -	\boxtimes	\$ 4,075,232

C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE OF PROCEEDS

5. Indicate below the amount of the adjusted gross proceeds to the issuer us proposed to be used for each of the purposes shown. If the amount for a purpose is not known, furnish an estimate and check the box to the left o estimate. The total of the payments listed must equal the adjusted gross to the issuer set forth in response to Part C - Question 4.b above.	ny f the	Payment to Officers,	
		Directors, & Affiliates	Payment To Others
Salaries and fees		□ s	S
Purchase of real estate	*,	□ s	s
Purchase, rental or leasing and installation of machinery and equipment	***************************************	S	s
Construction or leasing of plant buildings and facilities		□ s	[] S
Acquisition of other businesses (including the value of securities involved in offering that may be used in exchange for the assets or securities of another in the property of the property o	ssuer		F7 6
pursuant to a merger)		□ \$ □ \$	[] \$
Working capital		□ \$	[] \$ [2] \$
Other (specify):		□ \$	∑ \$4,075,232
Outer (speeny).		S	[] s
Column Totals		⊠ \$ ⊠ \$0	
Total Payments Listed (column totals added)			4,075,232
,		<u> </u>	
D. FEDERAL S	IGNATURE		
The issuer had duly caused this notice to be signed by the undersigned duly a signature constitutes an undertaking by the issuer to furnish to the U.S. Secur information furnished by the issuer to any non-accredited investor pursuant to	ities and Excha	ange Commission, upon wr	der Rule 505, the following itten request of its staff, the
Issuer (Print or Type) Plaxo, Inc.	Signature	<u> </u>	Date March 9, 2007
Name of Signer (Print or Type) George Colindres	Title of Signe Assistant Sec	er (Print or Type)	<u> </u>
ATTENT Intentional misstatements or omissions of fact constitute			

	E. STATE SIGNATURE			
1.	Is any party described in 17 CFR 230.252(c), (d), (e) or (f) presently subject to any of the disqualification provisions of such rule?	Yes 🗌	No 🏻	
	See Appendix, Column 5, for state response.			

- 2. The undersigned issuer hereby undertakes to furnish to the state administrator of any state in which the notice is filed, a notice on Form D (17 CFR 239.500) at such times as required by state law.
- 3. The undersigned issuer hereby undertakes to furnish to any state administrators, upon written request, information furnished by the issuer to offerees.
- 4. The undersigned issuer represents that the issuer is familiar with the conditions that must be satisfied to be entitled to the Uniform limited Offering Exemption (ULOE) of the state in which this notice is filed and understands that the issuer claiming the availability of this exemption has the burden of establishing that these conditions have been satisfied.

The issuer has read this notification and knows the contents to be true and has duly caused this notice to be signed on its behalf by the undersigned duly authorized person.

Issuer (Print or Type) Plaxo, Inc.	Signatura	Date March 9, 2007
Name of Signer (Print or Type)	Title of Signer (Print or Type)	•
George Colindres	Assistant Secretary	

Instruction:

Print the name and title of the signing representative under his signature for the state portion of this form. One copy of every notice on Form D must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

APPENDIX										
1		2	3		4				5	
		o non-accredited te (Part B-Item 1)	Type of security and aggregate offering price offered in State (Part C-Item 1)	Type of i	Type of investor and amount purchased in State (Part C-Item 2)			Disqualification under State ULOE (if yes, attach explanation of waiver granted (Part E-Item 1)		
State	Yes	No	Series D2 Preferred Stock	Number of Accredited Investors	Amount	Number of Non- Accredited Investors	Amount	Yes	No	
AL		х	-0-	-0-	-0-	-0-	-0-		х	
AK		х	-0-	-0-	-0-	-0-	-0-		х	
AZ		х	-0-	-0-	-0-	-0-	-0-		х	
AR		Х	-0-	-0-	-0-	-0-	-0-		Х	
CA		х	\$4,105,532	16	\$4,105,532	-0-	-0-		х	
со		Х	-0-	- 0-	-0-	-0-	-0-		х	
СТ		Х	-0-	-0-	-0-	-0-	-0-		х	
DE		х	-0-	-0-	-0-	-0-	-0-		х	
DC		X	-0-	-0-	-0-	-0-	-0-		Х	
FL		X	-0-	-0-	-0-	-0-	-0-		х	
GA		X	-0-	-0-	-0-	-0-	-0-		х	
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ID		Х	-0-	-0-	-0-	-0-	-0-		X	
IL		Х	-0-	-0-	-0-	-0-	-0-		х	
IN		Х	-0-	-0-	-0-	-0-	-0-		х	
IA		х	-0-	-0-	-0-	-0-	-0-		х	
KS		Х	-0-	-0-	-0-	-0-	-0-		х	
KY		х	-0-	-0-	-0-	-0-	-0-		х	
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		A	PPENDIX					
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МО	Х	-0-	-0-	-0-	-0-	-0-		Х
МТ	х	-0-	-0-	-0-	-0-	-0-		х
NE	Х	-0-	-0-	-0-	-0-	-0-		х
NV	Х	-0-	-0-	-0-	-0-	-0-		х
NH	X	-0-	-0-	-0-	-0-	-0-		х
NJ	Х	-0-	-0-	-0-	-0-	-0-		х
NM	X.	-0-	-0-	-0-	-0-	-0-		х
NY	х	-0-	-0-	-0-	-0-	-0-		х
NC	Х	-0-	-0-	-0-	-0-	-0-		х
ND	Х	-0-	-0-	-0-	-0-	-0-		х
ОН	Х	-0-	-0-	-0-	-0-	-0-		х
ок	х	-0-	-0-	-0-	-0-	-0-		х
OR	х	-0-	-0-	-0-	-0-	-0-		х
PA	x	-0-	-0-	-0-	-0-	-0-		Х
RI	x	-0-	-0-	-0-	-0-	-0-		х
SC	х	-0-	-0-	-0-	-0-	-0-		х
SD	x	-0-	-0-	-0-	-0-	-0-		X
TN	Х	-0-	-0-	-0-	-0-	-0-		Х
TX	х	-0-	-0-	-0-	-0-	-0-		Х
UT	X	-0-	-0-	-0-	-0-	-0-		Х
VT	Х	-0-	-0-	-0-	-0-	-0-		· x
VA	X	-0-	-0-	-0-	-0-	-0-		x
WA	X	-0-	-0-	-0-	-0-	-0-		х
wv	X	-0-	-0-	-0-	-0-	-0-		х
WI	x	-0-	-0-	-0-	-0-	-0-		х
WY	х	-0-	-0-	-0-	-0-	-0-		х
PR	Х	-0-	-0-	-0-	-0-	-0-		Х

END